

Receipt



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

David W. LaFore and Kyle L. Brennan

Serial No.: 09/497,272

Filed: February 3, 2000

For: "Data Processing System and  
Method for Managing Broker  
Transaction Information"

Office of Initial Patent Examination's  
Customer Service Center  
Assistant Commissioner for Patents  
Washington, D.C. 20231

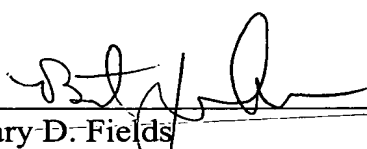
Sir/Madam:

In a review of the Filing Receipt received in connection with the  
above-identified application, a copy of which is enclosed, it has been noted  
that through no fault of the undersigned that the first applicant's name is  
misspelled. This applicant's name should read: "David W. LaFore," as  
evidenced by the enclosed Declaration and Power of Attorney which was  
previously filed. Please correct the Filing Receipt accordingly.

In view of the foregoing, it is respectfully requested that a  
"Corrected Filing Receipt" be issued.

Respectfully submitted,

FIELDS & JOHNSON, P.C.

  
Gary D. Fields  
Brent P. Johnson  
Attorneys for Applicant  
8480 E. Orchard Road, Suite 5600  
Englewood, CO 80111  
(303) 689-9100

Date: 9/5/00

**REQUEST FOR**  
**CORRECTED FILING**  
**RECEIPT**

Art Unit: 2784

I hereby certify that this correspondence is being  
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(Date of Deposit)  
*Katherine L. Gonzales*  
Name of applicant, assignee, or Registered Rep.

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Bib Data Sheet

<b>SERIAL NUMBER</b> 09/497,272	<b>FILING DATE</b> 02/03/2000 <b>RULE</b> -	<b>CLASS</b> 712	<b>GROUP ART UNIT</b> 2784	<b>ATTORNEY DOCKET NO.</b> J99.10
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## APPLICANTS

 David W. LaFore, Littleton, CO ;  
 Kyle L. Brennan, Aurora, CO ;

\*\* CONTINUING DATA \*\*\*\*\*

\*\* FOREIGN APPLICATIONS \*\*\*\*\*

 IF REQUIRED, FOREIGN FILING LICENSE  
 GRANTED \*\* 04/27/2000

\*\* SMALL ENTITY \*\*

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> CO	<b>SHEETS DRAWING</b> 45	<b>TOTAL CLAIMS</b> 27	<b>INDEPENDENT CLAIMS</b> 5
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

## ADDRESS

 Sheridan Ross PC  
 Brent P. Johnson  
 Fields & Johnson PC 1560 Broadway  
 8480 East Orchard Road  
 Suite 5600-120  
 Denver  
 Englewood, CO 80144 80202

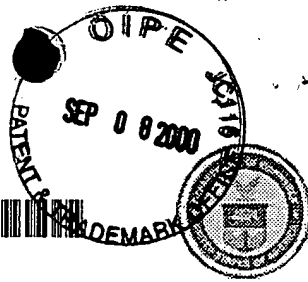
## TITLE

Data processing system and method for managing broker transaction information

<b>FILING FEE RECEIVED</b> 1037	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
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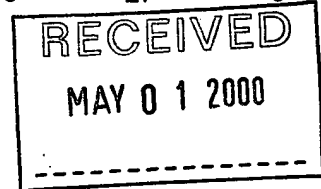
**FILING RECEIPT**

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Patent and Trademark Office**Address: ASSISTANT SECRETARY AND  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/497,272	02/03/2000	2784	1037	J99.10	45	27	5

Brent P Johnson  
Fields & Johnson PC  
8480 East Orchard Road  
Suite 5600  
Englewood, CO 80111



Date Mailed: 04/28/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

David W. Lafore, Residence Not Provided;  
Kyle L. Brennan, Residence Not Provided;

**Continuing Data as Claimed by Applicant****Foreign Applications**

If Required, Foreign Filing License Granted 04/27/2000

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**Title**

Data processing system and method for managing broker transaction information

**Preliminary Class**

712

Data entry by : BROWN, ROSCOE

Team : OIPE

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